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PATENT APPLICATION

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Applicant: Morck et al.
Serial No.: 09/538,821
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For: METHOD AND APPARATUS FOR
REDUCING OUTBREAKS OF
DIFFUSE LAMELLAR KERATITIS
Art Unit: 1744
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CLAIM FOR PRIORITY

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Applicants claim foreign priority benefits under 35 U.S.C. § 119 on the basis of the foreign application identified below:

Canadian Patent Application No. 2,287,150, filed Oct. 22, 1999

A certified copy of the priority document is enclosed.

Respectfully submitted,
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By

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attached hereto and identified below are
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the Patent Office.

Specification and Drawings, as originally filed, with Application for Patent Serial No:
2,287,150, on October 22, 1999, by **DOUGLAS W. MORCK (CO-APPLICANT AND
INVENTOR) AND SIMON P. HOLLAND**, for "Method and Apparatus for Reducing
Outbreaks of Diffuse Lamellar Keratitis".

L. Régimbald
Agent certificateur / Certifying Officer

May 21, 2004

Date

Canada

(CIPO 68)
04-09-02

OPIC  CIPO

Abstract of the Disclosure

Diffuse lamellar keratitis or DLK is a recently recognised post-surgical condition involving an inflammation that occurs in laser corneal surgery patients.

This condition is typically associated with the LASIK surgical procedure

5 (Laser Assisted In Situ Keratomileusis). The cause is presently unknown. The present invention provides a sterilization apparatus, which may be a retrofitting of the existing sterilizer, which reduces the occurrence of DLK and also methods for maintaining the sterilizer to reduce the occurrence of DLK.

METHOD AND APPARATUS FOR REDUCING OUTBREAKS OF
DIFFUSE LAMELLAR KERATITIS

Technical Field

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The invention relates to methods and apparatus for sterilization of ophthalmological instruments, and more particularly to sterilization methods and apparatus for reducing outbreaks of diffuse lamellar keratitis.

10 **Background Art**

Diffuse lamellar keratitis or DLK (also referred to as "Sands of the Sahara keratitis") is a recently recognised post-surgical condition involving an inflammation that occurs in laser corneal surgery patients. This condition is typically associated with the

15 LASIK surgical procedure (Laser Assisted In Situ Keratomileusis), the most rapidly increasing laser corneal surgery procedure in North America. It usually occurs in the first few days postoperatively. In LASIK surgery, surgeons cut a flap of the cornea and fold it back to expose the layer below, which is shaped with the laser to correct the patient's vision. The corneal flap is then put back in place. The DLK condition, an

20 inflammatory infection, can develop under the corneal flap and can threaten the patient's sight. DLK usually responds to intensive topical steroids, with lifting of the flap and irrigation in more advanced stages. Untreated or severe cases may progress to melting of the flap with the potential for significant loss of vision. It can occur at low levels in some surgical clinics, however, massive outbreaks have also occurred, where 30-80%

25 of patients receiving the surgical procedure at a clinic may be affected. To date the cause of the complication is not known. Some authors have suggested deposits from the microkeratome blade as a cause of DLK. Others relate DLK to particles from the eye drape. Since the use of laser surgery to correct vision is a relatively new technique which is seeking to be generally accepted, it is important that outbreaks of this

30 inflammation be prevented or at least minimized.

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Disclosure of Invention

The present inventor has discovered a method and apparatus to reduce outbreaks of the DLK inflammation. Instrument sterilizers are used to prepare surgical materials for the LASIK procedure. These sterilizers have a holding tank, or reservoir, that supplies water to be turned into steam for the sterilization. If these holding tanks become contaminated with specific biofilm bacteria they can become a source of certain toxins (lipopolysaccharide or endotoxin) that can be released into the sterilizer system and deposited on the surgical instruments that are to be used in the delicate structures of the eye (corneal stroma). These toxins are extremely heat stable (400°F for 4 hours is required to destroy them) therefore they are not destroyed by the short sterilization cycles provided by the sterilizers in these surgical clinics. As a result of this it is imperative to remove these biofilm bacteria from the reservoirs and to keep the reservoirs free of subsequent contamination by biofilm bacteria.

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The present invention therefore provides a method to remove biofilm bacteria from the reservoirs in these sterilizers, a method to prevent biofilm bacteria from contaminating the reservoirs, and an external reservoir that may be fitted on existing sterilizers, by-passing the existing internal reservoirs, that is simple to use and on which it is very easy to conduct preventative anti-biofilm procedures.

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Brief Description of Drawings

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In drawings which illustrate a preferred embodiment of the invention:

Fig. 1 is a front perspective view of the existing sterilization equipment;

Fig. 2 is a rear perspective view of the existing sterilization equipment modified according to the invention; and

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Fig. 3 is a front view of the water reservoir used in the sterilization equipment modified according to the invention.

Best Mode(s) For Carrying Out the Invention

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Currently in laser eye surgery, instrument sterilizers are used to sterilize the surgical instruments for the LASIK procedure between each patient. Since a rapid rate of sterilization is required due to high patient turnover, the preferred sterilizers have been autoclaves used previously in dental practices, as illustrated in Fig. 1, designated
10 generally by reference numeral 10. For example, the preferred and widely used sterilizer is the STATIM™ cassette autoclave manufactured by SciCan Division of Lux and Zwingenberger Ltd., and in particular the STATIM 5000™. Such a sterilizer is described in U.S. patent no. 5,271,893 - Newman issued December 21, 1993. Another commonly used sterilizer is the AMSCO Eagle 10™ manufactured by Steris of Mentor,
15 Ohio. These sterilizers have a 4 to 10 minute sterilization cycle and use steam injection to achieve sterilization. They typically have an internal holding tank, or reservoir 12, lined with plastic and having an irregular surface, which holds and supplies distilled water to be heated for the sterilization. The distilled water flows, by pump or gravity feed, through rubber tubing to a dosing pump 13 and a steam generator or boiler unit 14,
20 which provides steam under pressure to the cassette 16 in which the surgical instruments to be sterilized are placed. In more recent models, an air pump (not shown) pumps the distilled water through an external, replaceable filter 19, prior to its injection into the boiler unit 14.

The present inventor believes that endotoxins released from gram negative
25 bacterial biofilms in sterilizer reservoirs may be the cause of outbreaks of DLK. The irregular plastic surfaces of the reservoirs are ideal for bacterial biofilm development and if the holding tanks 12 become contaminated with specific biofilm bacteria they can become a source of certain toxins (lipopolysaccharide or endotoxin) that can be released into the sterilizer system and deposited on the surgical instruments that are to be used in

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the delicate structures of the eye (corneal stroma). These toxins are extremely heat stable (can withstand up to 400°F for 4 hours) therefore they are not destroyed by the short sterilization cycles provided by the sterilizers in these surgical clinics. As a result of this it is imperative to remove these biofilm bacteria from the reservoirs and to keep the reservoirs free of subsequent contamination by biofilm bacteria. The present invention therefore is a methodology to remove biofilm bacteria from the reservoirs in these sterilizers and to prevent biofilm bacteria from contaminating the reservoirs. Further, the inventor has also developed a special external reservoir that may be retro-fitted to existing sterilizers, by-passing the existing internal reservoirs, that is simple to use and on which it is very easy to conduct preventative anti-biofilm procedures.

Investigations of certain outbreaks of DLK show similar features in support of the endotoxin-outbreak DLK theory. In a first case *Burkholderia pickettii* was isolated from the sterilizer reservoir; in a second case *Burkholderia (Pseudomonas) cepacia* was isolated from the STATIM™ sterilizer reservoirs and from a tabletop distiller. The outbreak was brought under control by using similar methods to those described herein, to disinfect the sterilizer reservoir. All cases were related to sterilizer reservoir contamination with a *Burkholderia* or *Pseudomonas* species. After implementing the control measures described herein the attack rate of DLK was significantly reduced.

A. Sterilizer modification

A separate, removable reservoir 20 (Fig. 2 and 3) is provided for the distilled water. Preferably it is manufactured from a substance which can be subjected to sufficiently high temperatures to destroy endotoxins, preferably Pyrex™ glass or stainless steel. It has a threaded neck 22, and a polished lip 24, to receive a threaded stainless steel cap 26 sealed with O-ring 28 and provided with a nipple 30 to which biotechnology grade silicon tubing 32 is connected to feed distilled water directly to the heating unit of sterilizer 10. The reservoir 20 is provided with an air release valve 34 which is opened when the reservoir is inverted and the system operating to provide air pressure

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or the gravity feed. The reservoir 20 is preferably wall-mounted on a mounting bracket 21 and easily removable so that its inside surfaces can be scrubbed and subjected to long periods of high temperature.

5 The foregoing removable reservoir 20 can be retrofitted to existing sterilizers. Where the sterilizer has an external filter 19, as shown in Fig. 2, the output tubing 32 from the reservoir 20 is connected to the line 34 leading from filter 19 to the boiler unit 14. Where there is no external filter, it may be necessary to cut a hole in the sterilizer casing to allow access of output tubing 32 to the input to the boiler unit 14. Alternative-
10 ly, the sterilizer may be manufactured without the internal reservoir 12 but rather with a built-in tubing connection to the external removable reservoir 20.

Where it is not desirable or possible to provide a removable reservoir as noted above, the following methods have been found to control DLK outbreaks. These involve
15 first a system of draining the sterilizer at the end of each surgical day and using mechanical scrubbing and boiling water treatments in the morning prior to and at the end of each surgical day. At longer intervals, an isopropyl alcohol solution at about 70% was also placed in the sterilizer at the end of the surgical day, allowed to evaporate and then the boiling water treatment performed. These methods are considered unlikely to
20 damage the polymer lining.

B. Sterilizer Maintenance Procedure

This method is carried out on a daily basis as follows:

25 i) Start of the Surgery Day

1. Fill the empty reservoir with boiling tap water and drain using the sterilizer's pump.
 2. Fill the reservoir with boiling tap water and drain using the pump.
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3. Rinse the reservoir by filling with room temperature distilled water and drain with the pump.
4. Rinse the reservoir by filling with room temperature distilled water and drain with the pump.
- 5 5. Rinse the reservoir by filling with room temperature distilled water and drain with the pump.
6. Prepare and conduct the first sterilization run of instruments.

ii) End of the Surgery Day

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1. Drain the sterilizer reservoir with the pump.
 2. Fill the reservoir with boiling water and scrub the entire inner surface of the reservoir with a clean brush.
 3. Drain the reservoir with the pump.
 - 15 4. Fill the reservoir with boiling water and scrub the entire inner surface of the reservoir, again with a clean brush.
 5. Drain the reservoir with the pump.
 6. Rinse the reservoir by filling and draining the reservoir three (3) times with room temperature distilled water.
 - 20 7. Fill the reservoir with isopropyl alcohol (70%) and scrub the inner surface of the reservoir with the rinsed clean brush.
 8. Drain the reservoir with the pump.
 9. Rinse the reservoir by filling and draining the reservoir three (3) times with room temperature distilled water.
 - 25 10. Dry the inside of the reservoir with hair dryer or wipe the inside of the reservoir dry with a clean cloth.
 11. Store the reservoir empty and dry overnight.
 12. Change the rubber tube inside the reservoir weekly.
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C. Major Sterilizer Clean up Procedure

5 This method is carried out on a less frequent basis, perhaps a quarterly basis (every 13 to 14 weeks).

1. Fill the empty reservoir with boiling tap water, add disinfectant (hypochlorite), and vigorously scrub using the clean brush (15 minutes and the scrub must cover all of the inner surface of the reservoir). Drain using the pump.
 - 10 2. Fill the empty reservoir with boiling tap water, add disinfectant, and vigorously scrub using the clean brush (15 minutes and the scrub must cover all of the inner surface of the reservoir). Drain using the pump.
 3. Fill the empty reservoir with boiling tap water, add disinfectant, and vigorously scrub using the clean brush (15 minutes and the scrub must cover all of the inner surface of the
 - 15 reservoir). Drain using the pump.
 4. Fill the empty reservoir with boiling tap water, add disinfectant, and vigorously scrub using the clean brush (15 minutes and the scrub must cover all of the inner surface of the reservoir). Drain using the pump.
 5. Fill the reservoir with boiling tap water and drain using the pump.
 - 20 6. Fill the reservoir with boiling tap water and drain using the pump.
 7. Fill the reservoir with boiling tap water and drain using the pump.
 8. Rinse the reservoir by filling with room temperature distilled water and drain with the pump.
 9. Rinse the reservoir by filling with room temperature distilled water and drain with the
 - 25 pump.
 10. Rinse the reservoir by filling with room temperature distilled water and drain with the pump.
 11. Fill the reservoir with isopropyl alcohol (70%) and scrub the inner surface of the reservoir with the very well rinsed clean brush.
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12. Drain the reservoir with the pump.
13. Rinse the reservoir by filling and draining the reservoir three (3) times with room temperature distilled water.
14. Dry the inside of the reservoir with hair dryer or wipe the inside of the reservoir dry
5 with a clean cloth.
15. Store the reservoir empty and dry overnight.
16. Change the rubber tube inside the reservoir weekly.

As will be apparent to those skilled in the art in the light of the foregoing
10 disclosure, many alterations and modifications are possible in the practice of this invention without departing from the spirit or scope thereof. Accordingly, the scope of the invention is to be construed in accordance with the substance defined by the following claims.

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WHAT IS CLAIMED IS:

1. An apparatus for reducing outbreaks of diffuse lamellar keratitis comprising a sterilizer for sterilizing medical instruments with steam comprising a housing containing a steam generator supplied by a dispensing pump and a sterilization container for
5 containing said medical instruments, and further comprising a reservoir of distilled water which holds and supplies distilled water to be boiled for the sterilization, wherein said reservoir is separable from said housing and manufactured from a substance which can be subjected to sufficiently high temperatures to destroy endotoxins.
 - 10 2. The apparatus of claim 1 wherein said reservoir is independent from said housing.
 3. The apparatus of claim 1 wherein said reservoir is manufactured from Pyrex glass.
 - 15 4. The apparatus of claim 1 wherein said reservoir is manufactured from stainless steel.
 5. The apparatus of claim 1 wherein said reservoir comprises a hollow vessel having a threaded neck to sealingly receive a threaded cap provided with means to receive a
20 tubing for connecting said reservoir to said steam generating unit.
 6. The apparatus of claim 1 wherein said reservoir is adapted to be inverted in use and is provided with an air release valve which is opened when the reservoir is inverted and the system operating.
 - 25 7. A method for reducing outbreaks of diffuse lamellar keratitis comprising:
 - i) at the start of a surgery day carrying out the following procedures on a sterilizer for sterilizing medical instruments with steam comprising a housing containing a steam generator supplied by a dispensing pump and a sterilization
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- 10 -

container for containing said medical instruments, and further comprising a reservoir which can be emptied by a pump, within said housing for receiving distilled water and which holds and supplies distilled water to be boiled for the sterilization:

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1. Fill the empty reservoir with boiling tap water and drain using said pump;
2. Repeat step 1;
3. Rinse the reservoir by filling with distilled water and drain with said pump;
4. Repeat step 3;
- 10 5. Repeat step 3;
6. Prepare and conduct the first sterilization run of instruments.

ii) at the end of the surgery day carrying out the following procedures on said sterilizer

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1. Drain the sterilizer reservoir with said pump;
 2. Fill the reservoir with boiling water and scrub the entire inner surface of the reservoir with a clean brush;
 3. Drain the reservoir with said pump;
 - 20 4. Fill the reservoir with boiling water and scrub the entire inner surface of the reservoir, again with a clean brush;
 5. Drain the reservoir with said pump;
 6. Rinse the reservoir by filling and draining the reservoir three (3) times with distilled water;
 - 25 7. Fill the reservoir with an isopropyl alcohol solution and scrub the inner surface of the reservoir with a clean brush;
 8. Drain the reservoir with said pump;
 9. Rinse the reservoir by filling and draining the reservoir three (3) times with distilled water;
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10. Dry the inside of the reservoir;
11. Store the reservoir empty and dry overnight.

8. A method for reducing outbreaks of diffuse lamellar keratitis comprising:

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i) periodically carrying out the following procedures on a sterilizer for sterilizing medical instruments with steam comprising a housing containing a steam generator supplied by a dispensing pump and a sterilization container for containing said medical instruments, and further comprising a reservoir within said housing for receiving distilled water and which holds and supplies distilled water to be boiled for the sterilization:

10

1. Fill the empty reservoir with boiling tap water, add disinfectant, and scrub all of the inner surface of the reservoir using a clean brush; and drain using said pump;

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2. Repeat step 1 three more times;

3. Fill the reservoir with boiling tap water and drain using said pump;

4. Repeat step 3 two more times;

5. Rinse the reservoir by filling with distilled water and drain with the pump.

20

6. Repeat step 5 two more times;

7. Fill the reservoir with an isopropyl alcohol solution and scrub the inner surface of the reservoir with a clean brush;

12. Drain the reservoir with said pump;

13. Rinse the reservoir by filling and draining the reservoir three times with distilled water;

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14. Dry the inside of the reservoir;

15. Store the reservoir empty and dry overnight;

16. Change the rubber tube inside the reservoir weekly.

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9. The method of claim 8 wherein said procedures are carried out less frequently than a daily basis.
 10. The method of claim 8 wherein said procedures are carried out on a quarterly
5 basis.
 11. A method for reducing outbreaks of diffuse lamellar keratitis comprising carrying out the method of claim 7 on a daily basis and the method of claim 8 on a less frequent basis.
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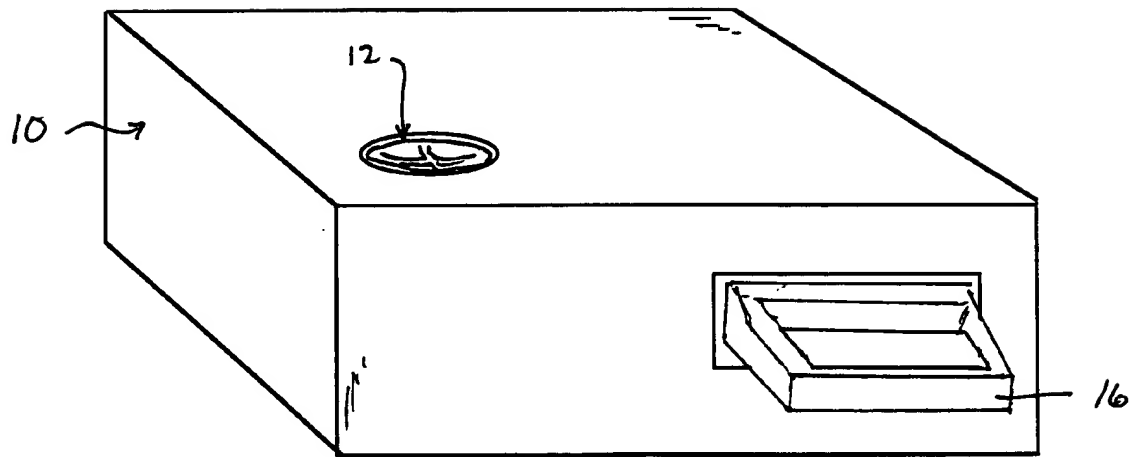
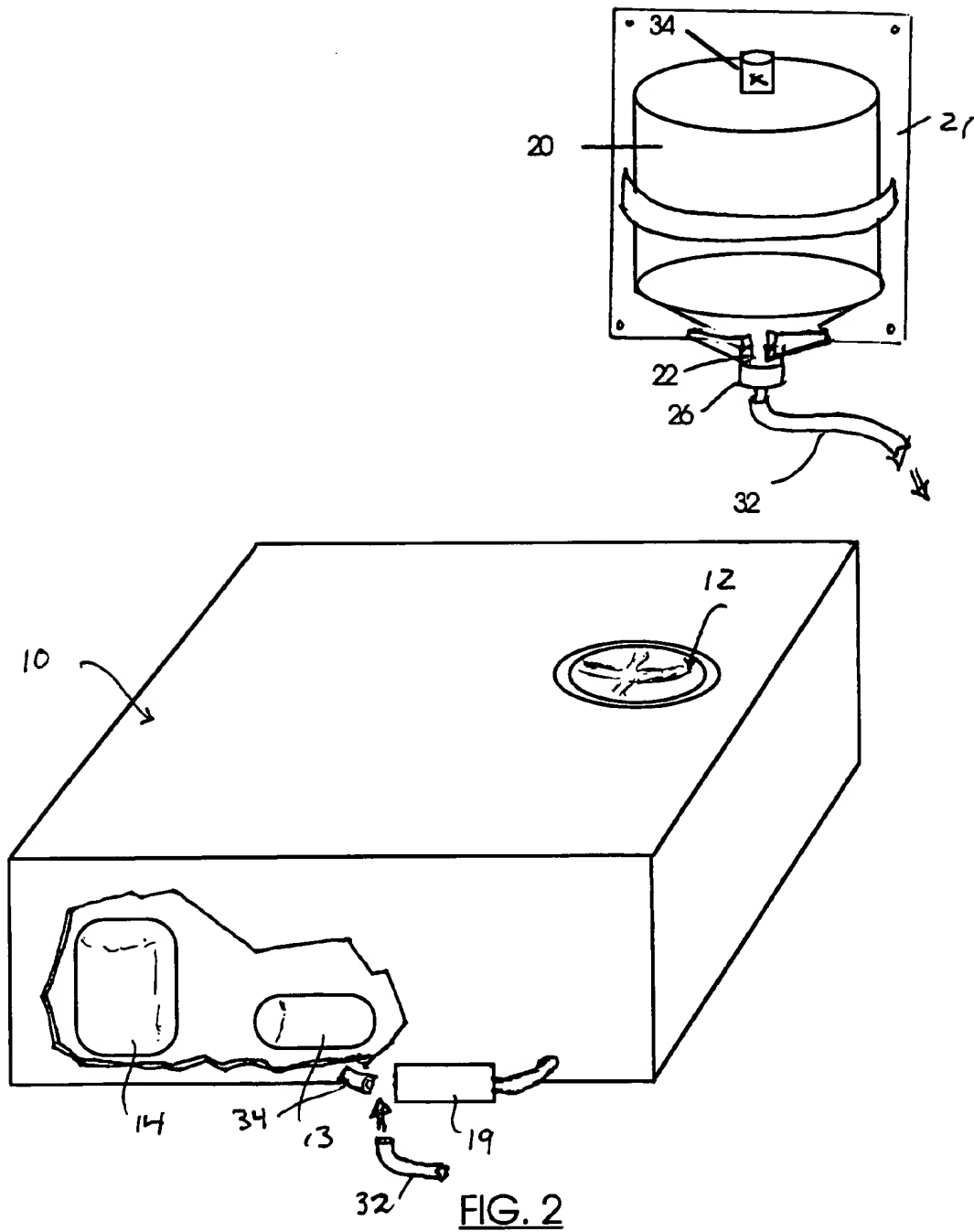


FIG. 1



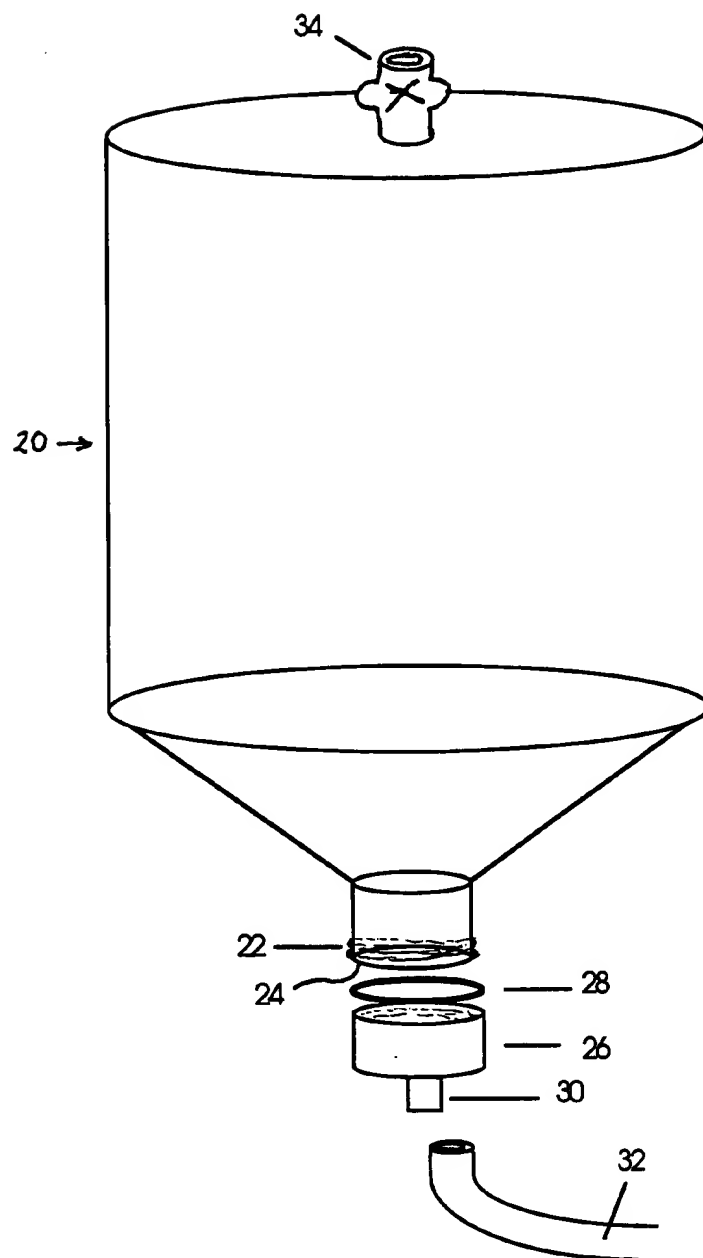


FIG. 3